

NORTHERN LIGHTS | SERVICE CALENDAR

QUARTERLY - INVESTMENT REVIEW › REBALANCING › PERFORMANCE REPORTS

JANUARY

REVIEW PRIOR
YEAR REPORTS:

- › PERFORMANCE
- › CAPITAL GAINS

FEBRUARY

- › REVIEW PRIOR
YEAR CASH FLOWS
TO ACTUALS
- › FINALIZE TAX
REPORTING/QUESTIONS
- › REVIEW FINANCIAL
PLANNING
PROJECTIONS
– ASSET CLASS
RETURNS, INFLATION
ASSUMPTIONS ETC.
- › CORPORATE TAX
RETURN PREP

MARCH

- › TAX DOCUMENTATION
REVIEW
- › ACCOUNTANT CALLS
- › TAX RETURN REVIEWS
- › IRA CONTRIBUTIONS
& ACCOUNT SET UP

APRIL

- › CHECK ON ESTATE PLAN-
NING DOCUMENT IMPLE-
MENTATION
- › BENEFICIARY UPDATE –
RETIREMENT
ACCOUNTS, INSURANCE,
& ESTATE PLANS
- › LIFE INSURANCE NEEDS
- › DISABILITY
- › LONG TERM CARE
- › PROPERTY &
CASUALTY
- › AMAZON ANNUAL
RSU ALLOTMENTS

MAY

- › RETIREMENT
PLANNING REVIEW
- › INVESTMENT REVIEW
- › EDUCATION FUNDING
- › AMAZON RSU
VESTING INPUT

JUNE

- › DEBT MANAGEMENT
REVIEW

JULY

- › AD HOC TASKS

AUGUST

- › AD HOC TASKS

SEPTEMBER

- › REQUEST REVIEW OF EMPLOYEE BENEFITS OPEN ENROLLMENT INFORMATION

OCTOBER

- › EMPLOYEE BENEFITS REVIEW/ADJUSTMENTS
- › TAX REVIEW – LOSS HARVESTING, FSA/HSA, ROTH CONVERSIONS
- › REQUIRED MINIMUM DISTRIBUTIONS NOTICES & CHECK IN

NOVEMBER

- › FINALIZE RMDS
- › FINALIZE PROPOSALS FOR TAX LOSS HARVESTING/GAINS MANAGEMENT
- › AMAZON RSU VESTING INPUT

DECEMBER

- › IMPLEMENT REMAINING CHARITABLE GIVING STRATEGIES
- › TAX LOSS HARVESTING

